



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Applicants** 

SEMRET, Nemo et al.

Serial No.

To be assigned

Filed

March 23, 1999

For

SYSTEM AND METHOD FOR PERFORMING A

PROGRESSIVE SECOND PRICE AUCTION

**TECHNIQUE** 

## Express Mail Mailing No. EK839861745US

## **INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner of Patent Box PCT Washington, D.C., 20231

## Sir or Madam:

Applicant brings to the Examiner's attention the documents listed on the attached Form PTO-1449, which are relevant to the above-identified patent application, copies of which are enclosed.

It is respectfully requested that the listed documents be made of record in the application.

- 1. U.S. Patent No. 5,802,502 granted September 1, 1998 to Gell et al.;
- 2. U.S. Patent No. 5,640,569 granted June 17, 1997 to Miller et al.;
- 3. U.S. Patent No. 5,610,910 granted March 11, 1997 to Focsaneanu et al.;
- 4. U.S. Patent No. 5,487,168 granted January 23, 1996 to Geiner et al.
- 5. FELDMAN, R.A., MEHRA, R. Auction: Theory and Applications. International Monetary Fund staff papers. September 1993, Vol. 40, No. 3, pages 485-511;
- 6. ANONYMOUS, New Zealand Moves Toward Market Driven Spectrum Allocation. Spectrum Report, February 1, 1991, Vol. 1, No. 4, page 1; and

NY02:289867.1

7. ANONYMOUS, Revenge of the Nerds: When government auctioneers need worldly advice, where can they turn? To mathematical economics, of course. Economist, July 23, 1994, Vol. 332, No. 7873, page 70.

Also enclosed is a copy of Form PTO-1449.

Respectfully submitted,

**BAKER BOTTS LLP** 

Dated: October 23, 2000

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Enclosures